

Transition Management

Penserra Transition Management LLC is a registered investment advisor. We offer consultative and comprehensive transition management services from offices in San Francisco/Bay Area, Chicago and New York.

Drawing on a team of senior investment professionals with successful track records at some of the most respected firms in the industry, we are uniquely positioned to help manage institutional investors with their investment changes.

▶ ALIGNED WITH YOUR INTERESTS

We place our clients' interest above all else, and as part of this commitment, we will:

- Act as a Fiduciary
- Execute trades on an agency-only basis
- Avoid all potential conflicts of interest
- Perform FX trades at no charge
- Prevent information leakage; no "advertisement" of any trades
- Maintain confidentiality throughout an event

▶ HELPING YOU MANAGE THE PROCESS

A transition event can be daunting for most investors. As your partner, it's our goal to:

- Preserve the value of your assets
- Perform all planning and daily oversight
- Provide a high-touch service experience
- Minimize your operational burden

► WHY PENSERRA?

At Penserra, we realize that investment changes can be an expensive, time-consuming and risky endeavor. As a Transition Manager, it's our responsibility to carefully assess and mitigate these costs and risks on your behalf.

Working closely with you and your stakeholders, we:

Design a cost-effective, risk-controlled trading strategy

- Focusing on an optimal exposure management solution
- Applying a rigorous multi-tier approach to portfolio volatility
- Seeking best execution through our global, multi-venue trading channels
- Employing an independent internal risk review

Provide full modeling and revenue transparency

- Disclosing all commissions and fees earned upfront
- Showing a realistic pre-trade estimate during the bidding process
- Producing a comprehensive post-trade report based on industry standards
- Attesting to actual revenue earned

Coordinate the event from start to end

- Identifying, planning and overseeing the execution of all required deliverables
- Providing a single point-of-contact with detailed project plans and daily communication
- Offering 24-hour coverage

Our experience and commitment to serve in the best interest of our clients allows us to be a trusted strategic partner. For institutions with policies encouraging the use of MBWE providers, we are also a Hispanic majority-owned firm registered as a Minority Business Enterprise (MBE) with several state certifications.

Penserra can help preserve the value of your assets, provide a high-touch service experience, and minimize your operational burden.

Turn to Penserra to deliver the investment, trading, and operational expertise you require for a seamless transition.

Contact Us

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